

AML Risk, Controls and Practical Judgement in Banking: Wholesale, SME, Retail and Virtual Asset-Related Risks

Programme Code: THRCPO0P26092

16 September 2026 (Wednesday)
7:00pm – 10:00pm



HKIB SFC PWMA
CPD CPT OPT

Programme Outline

Focus: Key AML, fraud and financial crime risks affecting banks in Hong Kong.

Covered contents:

- Current Hong Kong AML / fraud risk landscape.
- Fraud, scams, cybercrime, mule accounts and money laundering convergence.
- Movement of scam proceeds through retail, SME and corporate accounts.
- Key typologies across:
 - Wholesale banking:** trade-based money laundering, complex structures, third-party payments, pass-through accounts.
 - SME banking:** shell businesses, weak business substance, nominee control, unusual turnover.
 - Retail banking:** mule accounts, scam proceeds, third-party control, rapid fund movement.
- Use of Hong Kong Police cases and HKMA circulars to illustrate key risks.

Speaker Introduction

The speaker is the former Chief Executive of a licensed SVF, this professional holds significant expertise in financial services. With a background in financial crime compliance and executive leadership, they bring a wealth of experience from various banking and regulatory roles.

Certified Anti-Money Laundering Specialist (CAMS), Certified AML Professional (CAMLPA), Fellow of the Hong Kong Securities and Investment Institute (FHKSI); and Master degrees in Law (LLM, CUHK), Banking (MSc, CityU), and Asian Studies (MA, HKU); Bachelor degrees in Public & Social Administration (BA(Hons), CityU) and Law (LLB, MMU, UK).

Target Audience

- Banking Practitioners
- Compliance Officers, IT Professionals and Relationship Managers
- HKIB Professional Qualification Holders (eligible for CPD Core Hours):



Programme Delivery

Virtual Classroom (Zoom)
Cantonese



Application DEADLINE

9 September 2026 (Wednesday)



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